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<b>(54) Title:</b> A DISEASE RESISTANCE GENE FROM MAIZE AND ITS USE FOR DISEASE RESISTANCE, AS A SELECTABLE MARKER AND AS A GENE IDENTIFICATION PROBE		
<b>(57) Abstract</b>  The <i>HMI</i> gene in maize confers race-specific resistance to the pathogen, <i>Cochliobolus carbonum</i> . We have used transposon mutagenesis to tag, clone, and characterize several <i>HMI</i> alleles. The gene can be used as a selectable marker in conjunction with the toxin produced by <i>C. carbonum</i> .		

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A DISEASE RESISTANCE GENE FROM MAIZE AND ITS USE FOR  
DISEASE RESISTANCE, AS A SELECTABLE MARKER  
AND AS A GENE IDENTIFICATION PROBE

5  
TECHNICAL FIELD

This is a continuation-in-part of prior copending application No. 07/995,658, filed December 15, 1992, now abandoned. This invention relates to the isolation of a  
10 gene which controls resistance to both a fungus and a fungal disease toxin and its use to confer resistance to fungal disease, as a selectable marker and as a probe to identify homologous disease resistance genes in maize and other crops.

15 BACKGROUND OF THE INVENTION

Disease resistance genes are defined as Mendelian factors that cosegregate with the resistance trait. The gene Hm1, which controls resistance to Cochliobolus carbonum Nelson race 1 was among the first disease  
20 resistance genes to be described. The disease caused by C. carbonum race 1 can be devastating, resulting in yield losses of 80% or more due to plant death and grain mold. The dominant allele, Hm1, and the duplicate factor, Hm2 are the only disease resistance genes that are known to be  
25 fixed at a high frequency in maize germplasm.

Since the discovery of a race-specific compatibility factor that is produced by the fungus, the disease caused by C. carbonum race 1 has been the subject of detailed study. This compatibility factor permits the fungus to  
30 infect certain genotypes of maize that would otherwise be resistant. The role of Hm1 in providing resistance to the fungus is a function of its ability to cause reduced sensitivity to the compatibility factor. Further studies established that the presence of the compatibility factor  
35 confers the same race-specificity (for hml/hml corn) on the oat pathogen, Cochliobolus victoriae. The structure of this compatibility factor, HC-toxin, is known but the mode of action remains to be elucidated. Recently, an

enzyme that inactivates HC-toxin has been identified in extracts from maize. The enzyme, HC-toxin reductase (HCTR), is detectable only in extracts from resistant (Hm1) genotypes. This establishes HCTR activity as the  
5 biochemical phenotype of Hm1.

#### DISCLOSURE OF THE INVENTION

It has now been determined that the Hm1 gene can be used in conjunction with HC-toxin in a selectable marker system for use in maize transformation. When the cloned  
10 gene is linked to appropriate regulatory sequences for expression in plant cells and cotransformed into maize cells along with another quantitative or qualitative trait which is not selectable, it confers upon transformants a resistance to HC-toxin by virtue of the production of  
15 HCTR. The cells can continue to grow on medium containing the isolated HC-toxin. Nontransformed cells do not express the HCTR and are rapidly killed by the toxin the pathogen produces. The net effect is a tissue culture containing only transformed cells which can then be  
20 regenerated by known methods to form transformed shoots and even whole plants.

It has also been discovered that this gene can be inserted using conventional transformation methods into the genomes of maize varieties which lack the gene and are  
25 susceptible to disease caused by C carbonum. Resulting transformants are resistant to the disease.

It has further been discovered that the Hm1 resistance gene is one of a family of homologous disease resistance genes in maize and other crops. Accordingly,  
30 the present invention also encompasses methods for identification of homologous disease resistance genes by using this gene or a fragment thereof as a probe.

#### **Materials and Methods**

##### Genetic Materials and Propagation

35 Mutator stocks used in this work were obtained from Dr. D. Robertson, Iowa State University. Ac/Ds stocks used were obtained from D. I. Greenblatt, University of Connecticut. The methodology of Hm1 mutant isolation was

performed in the manner previously published by one of us [S.P. Briggs, Curr. Top. Plant Biochem. Physiol., 6:59 (1987)].

Inbred HM1 allele designations are: Pr, hml-1;  
5 K61, hml-2; P8, Hml-A; Pr1, Hml-Pr1; B79, Hml-B79;  
4Co63, Hml-4C063; Pioneer PHV12, Hml-PHV12.

### Experimental Approach

Transposon-tagging is known to be a reliable tool for  
10 isolating genes from maize. The basic tenet of transposon  
tagging is that DNA rearrangements (i.e., insertions or  
excisions) that are concomitant with genetic (phenotypic)  
changes define a causal relationship which identifies the  
rearranged DNA as the changed gene. Several independent  
15 events of this nature are accepted as proof of identity.  
As described herein, we have observed that 5 mutant  
alleles of HM1 are associated with insertions within a  
transcribed region (hml-656::Mu1), hml-1369::Mu3, Hml-  
1062::dHbr, hml-2355, hml-1040::Spm), and 1 deletion  
20 allele Def(HM1)-1790 is associated with loss of the  
transcribed region. Finally, only the wild-type allele  
(Hml-Pr1) produced a 1.3 kb mRNA.

The transposable element family Mutator is  
particularly effective for generating forward mutations.  
25 Germinal reversion of mutants back to wild-type is rare  
with Mutator-induced alleles. Somatic reversion has been  
observed, but the small somatic sectors typical of Mutator  
cannot be identified for traits that are not cell  
autonomous or are complex, such as disease lesion  
30 development. Therefore, to obtain multiple genetic events  
that were coincident with rearrangements of HM1, a  
strategy based upon independent forward mutations rather  
than reversions was used.

To identify co-segregation between HM1 and a  
35 restriction fragment, the segregating progeny were first  
classified by examining their DNA on Southern blots. The  
blots were hybridized with probes for RFLP loci that flank  
the locus; PIO200644 and PIO200044 (obtained from D.

Grant, Pioneer Hi-Bred International, Inc.) map 5 cM proximal and distal to HM1, respectively (2; unpublished observations). Only progeny that inherited alleles at both loci from the same parent were used in the analysis.

5 This approach permitted the identification of progeny which inherited the 10 cM block of chromosome 1 bounded by the RFLP loci. By grouping together progeny that inherited either the mutant allele, or the recessive tester allele with which the mutant allele was paired, and  
10 then comparing the two classes with each other, restriction fragments that were common to one progeny class and absent from the other were identified. This method established linkage between restriction fragments and the 10 cM block that contains HM1.

15 To directly determine the linkage between HM1 and a restriction fragment, linked fragments were cloned and used to prepare DNA hybridization probes. Probes were then hybridized to a Southern blot of DNA from 60 progeny of the backcross K61/Pr1 X K61. The backcross progeny  
20 were scored for inheritance of the HM1 alleles by inoculating them with conidia of the C. carbonum race 1 strain SB111, and for inheritance of the alternate alleles detected by the DNA probes. A comparison of the patterns of inheritance revealed the linkage relationships between  
25 the probes and HM1.

The RFLP loci described above, plus an RFLP locus that is detected by the probe NPI429, were used to identify the progenitor of the mutant alleles. We obtained NPI429 from T. Helentjaris, Native Plants, Inc.

30

#### Isolation of DNA and blot hybridization

Total DNA from the leaf tissue of maize, Sorghum (Pioneer inbred P285), and Coix (Lachrymae jobi) and seedling tissue of Arabidopsis thaliana L. (Landsberg erecta) was isolated by the urea extraction method as  
35 described in S. L. Dellaporta, J. Wood, J.B. Hicks, Plant Mol Biol Rep, 1, 18 (1983). Southern blots were prepared as described by Athma et al, Genetics, 128, 163 (1991).

For RFLP analysis, DNA was transferred to nylon membranes (MSI from Fisher) and the hybridizations were performed as described above but without the addition of formamide. Probes were made from gel-purified DNA fragments and  
5 labeled by random priming (Amersham). The Mu1 specific probes was an internal 650 bp Aval/BstEII fragment isolated from pA/B5; the plasmid was provided by L. Taylor, Stanford University. The Mu3 specific probe was the internal HindIII/XbaI fragment of a clone that was  
10 obtained from V. Chandler, University of Oregon. The Spm probes were pBx1 and pXS2.3 obtained from K. Cone, Brookhaven National Laboratory.

#### Isolation of RNA and Northern Blotting

15 Total RNA from 5 to 6 day-old etiolated seedlings was isolated by the guanidine thiocyanate method [P. Chomczynski and N. Sacchi, Anal Biochem 162, 156 (1987)]. Poly(A)+ RNA was enriched using the polyAtract mRNA isolation system of Promega. Samples (~15 ug) of poly(A)+  
20 RNA were denatured using formaldehyde, fractionated in a 1.3% agarose gel, and blotted onto Hybond-N by standard techniques ( ). DNA probes were radiolabeled by random priming and the blots were hybridized and washed as described.

25

#### Genomic Cloning

DNA isolated from homozygous mutant seedlings was digested with SstI or XhoI and the appropriate DNA fragments (as judged from the Southern blots) were  
30 purified by preparative gel electrophoresis and electroelution into dialysis tubing. This purified DNA was ligated to preannealed SstI or XhoI cut arms from the bacteriophage vector 1 sep6-lac5 obtained from R. Martienssen, Cold Spring Harbor Laboratory. Packaging  
35 into Gigapack Gold (Stratagene) and screening of the libraries were carried out according to the manufacturers instructions. All clones were subcloned into Bluescript

SK+ (Stratagene) and maintained in the SURE strain (Stratagene) of E. coli.

#### PCR (Polymerase Chain Reaction) and Sequence Analysis

5 For analysis of DNA from the resistant progeny of the hml-656::Mul/hml-1369::Mu3 heterozygote, primers homologous to sequences on each side of the insertion sites were used for PCR amplification. The primer sequences were: 5' CTG CTC ATG ACT CAT ATC AGG CGG TAG C 3' AND 5' 10 GAC CAG CCG ACG CAG CAG CCC CGC CTT C 3'. PCR conditions were as described by Perkin Elmer-Cetus, except that the reactions were performed in 20% glycerol. Reactions were heated to 94 C for 3 min, then cycled 40 times for 1 min at 94 C, 2 min at 65 C, and 2 min at 72 C; and finally 15 extended for 15 min at 72C. The gel-purified PCR products were reamplified and directly sequenced using synthetic sequencing primers.

#### HM1 Mutants Derived from Mutator Stocks

20 Several mutant alleles of HM1 were recovered from Mutator element stocks, 4 of which were selected for further study. Criteria for selection included DNA hybridization data that ruled out pollen contamination as the source of the mutant alleles and a low frequency of 25 susceptible progeny appearing within a family. RFLP loci were used to distinguish between the mutant alleles and the standard recessive alleles with which they had been paired. Segregating progeny were classified for inheritance of mutant alleles by use of the RFLP probes.

30

#### hml-656::Mul

Progeny produced by fertilizing plants of the genotype y wx gl1 Hml-B79 Mutator (designated 81-82-9537 Mu2 per se by D. Robertson) with pollen from the hybrid, 35 K61/Pr, resulted in the recovery of 2 susceptible plants out of 253 progeny. Both susceptible plants were found to be carrying the mutant allele, hml-656::Mul, indicating that they arose as the result of a small somatic sector on



the ear. Pollen from both plants was used to fertilize ears on the Pioneer inbred, PHV12.

When progeny from the cross hml-656::Mul/hml-1 x Hml-PHV12/Hml-PHV12 were classified according to inheritance of the hml-656::Mul allele (by using the RFLP loci), a pattern of hybridization with probe DNA from the Mul element was observed. A 3.2 kb SstI fragment co-segregated with the hml-656::Mul allele. No exceptions to this were found in a sample of 92 progeny. The 3.2 kb fragment, which contained Mul plus some flanking DNA, was excised from a gel, cloned into the SstI site of 1 sep6-lac5, subcloned into Bluescript SK+, restriction mapped, and used to prepare a probe from the DNA flanking the Mul insertion (designated \*656).

The \*656 probe was hybridized to a Southern blot of DNA from 4 different homozygous mutants. Classification using RFLP loci indicated that each of the mutant alleles was derived from the B79 inbred parent (Hml-B79). The observation of polymorphisms showed that a DNA rearrangement took place in the cloned region concomitant with the generation of the mutations. With the enzyme used, hml-1062::dHbr produces a fragment identical to B79. However, polymorphisms between hml-1062::dHbr and B79 can be detected using other enzymes.

25

#### hml-1369::Mu3

The hml-1369::Mu3 allele was recovered as a single susceptible plant out of 230 progeny. The progeny were produced by pollinating plants of the genotype y wx gll Hml-B-79 Mutator (designated 81-82-9537 Mu<sup>2</sup> per se by Dr. Robertson) with the hybrid, K61/Pr (hml-2/hml-1).

The heterozygote, hml-1369::Mu3/hml-2, was self-pollinated and the progeny were classified using RFLP loci. Hybridization of a Mu3 probe revealed a co-segregating band. A 4.6 kb SstI fragment co-segregated with the hml-1369::Mu3 allele. The signal intensity difference caused by 2 doses of the allele, when homozygous, permitted hml-1369::Mu3 to be scored as a

codominant marker, which increased the mapping resolution. No recombinants were observed in a sample of 60 progeny. The 4.6 kb fragment, which contained Mu3 plus flanking DNA, was excised from the gel and cloned into the SstI site of 1 sep6-lac5 subcloned into Bluescript SK+, restriction mapped, and used to prepare a probe (designated \*1369) from the DNA that flanked the Mu3 insertion.

The \*1369 probe detected the same polymorphisms that had been revealed by the \*656 probe, indicating that the Mu1 and Mu3 elements had inserted into the same restriction fragment. This conclusion was confirmed by comparison of the restriction maps of the 2 clones. An anomaly was revealed with SstI in which the hml-656::Mu1 DNA gave a 3.2 kb fragment when hybridized with the \*656 probe but a 1.0 kb fragment when the \*1369 probe was used; other enzymes failed to detect this anomaly. DNA sequence analysis showed that the Mu1 element had created an SstI site upon insertion into Hm1.

An effort was made to recover resistant progeny from the Mu alleles. The heterozygote, hml-656::Mu1/hml-1369::Mu3 was fertilized using pollen from the inbred Pr (hml-1). From 500 progeny, 1 resistant plant was recovered. Examination of DNA from 10 susceptible siblings of the resistant plant confirmed that all three parental alleles were segregating as expected. Examination of DNA from the resistant plant revealed a progenitor-sized fragment from B79 (designated Hm1-B79R) plus the hml-1 allele from Pr. This plant was self-pollinated. DNA from its progeny was examined using probes \*1369, PIO200644, PIO200044, and NPI429. The same progeny were tested for susceptibility to infection by inoculating with SB111. The results confirmed that resistance was conferred by the Hm1-B79R allele. Homozygous Hm1-B79R progeny were identified. The site of insertion of Mu1/Mu3 was amplified by PCR and sequenced with no difference observed between the new allele and the B79 progenitor.

hml-1062::dHbr

Progeny produced by pollinating the hybrid, K61/Pr (hml-2/hml-1), with plants of the genotype y wx gl1 Hml-B79 Mutator (designated 81-82-9539 Mu<sup>2</sup> per se by Dr. Robertson) resulted in the recovery of the hml-1062::dHbr allele from 1 of 2 susceptible plants out of 483 progeny.

Hybridization with probes specific for Mu1, Mu3, Mu7, and Mu8 failed to identify a fragment that cosegregated with hml-1062::dHbr. Hybridization with the \*656 probe detected a polymorphism between hml-1062::dHbr and the progenitor allele, Hml-B79. We cloned a 3.1 kb XhoI fragment from the hml-1062::dHbr mutant into l sep6-lac5, using \*656 as a probe. Restriction mapping confirmed the B79 structure with the exception of a small (approximately 400 bp) insertion into the SstI-XhoI fragment at the 3'-end of the clone. The identity of this insertion element has not been determined, but it lacks homology with Ac, Ds1, Ds2, and Spm/En internal sequence probes and with the Mu terminal inverted repeat.

Def(HM1-1790)

Progeny produced by pollinating plants of the genotype y wx gl1 Hml-B79 Mutator (designated 81-82-9536 Mu<sub>2</sub> per se by D. Robertson) with the hybrid, K61/Pr (hml-2/hml-1), resulted in the recovery of the Def(HM1)-1790 allele from a single susceptible plant out of 345 progeny.

The Def(HM1)-1790 allele displays an aberrant transmission pattern. A Def(HM1)-1790/hml-1 heterozygote was self-pollinated and the progeny were characterized using the flanking RFLP loci. Only 14 of 56 progeny were found to have inherited the Def(HM1)-1790 chromosome. When a heterozygote was fertilized using pollen from a wild-type stock (SB509), 8 of 25 progeny inherited the Def(HM1)-1790 chromosome. When the heterozygote was used as the pollen source to fertilize a wild-type inbred (W23), none of 32 progeny inherited the Def(HM1)-1790 chromosome. The results show that the Def(HM1)-1790

allele (or chromosome) was not transmitted through the pollen and was only poorly transmitted through the egg. Such a pattern of transmission is typical of a chromosomal deficiency. The \*1369 probe did not hybridize with DNA  
5 from the Def(HM1)-1790 allele, confirming the presence of a deletion and showing that the cloned region lies within the deletion. Test crosses with br2, which maps within 0.1 cM of HM1, produced only wild-type progeny. Likewise, both PIO200644 and PIO200044 detected 2 alleles in progeny  
10 that inherited Def(HM1)-1790. Therefore, the deletion cannot encompass more than 5 cM of the chromosome, being bounded by br2 and one of the RFLP loci.

#### An HM1 mutant derived from an Ac/Ds stock

15 An allele, Hm1-1040::Spm, was recovered from the inbred 4Co63; the P-VV allele (Ac inserted into the P1 gene) had been backcrossed into this version of 4Co63. This allele was selected for study because it appeared to arise as a large tassel sector. The hybrid K61/Pr (hml-2/hml-1) was fertilized using pollen from the P-VV/P-WW  
20 (WW) inbred 4Co63 (Hm1-4Co63/Hm1-4Co63), designated 610417(X) by I. Greenblatt (personal communication). Seventeen males were used to produce 32 ears. Only 2 ears bore susceptible progeny and both were derived from male  
25 plant number 16. The cross 741-11 X 741-9 plant 16 yielded 47 susceptible progeny out of 323. The cross 741-13 X 741-9 plant 16 yielded 16 susceptible progeny out of 323. The results are best explained by a single mutagenic event that occurred during tassel development, giving rise  
30 to many gametes bearing the same mutation.

Genetic tests showed that Ac had not transposed in the hml-1040::dSpm mutant; Ac, Ds1, and Ds2 probes failed to identify a restriction fragment that cosegregated with hml-1040::dSpm. Examination of DNA from the hml-1040::dSpm  
35 1040::dSpm mutant by hybridization with the \*1369 probe revealed an 11.0 kb SstI restriction fragment that was 6 kb larger than the Hm1-4Co63 progenitor allele. This fragment was cloned into 1 sep6-lac5. Hybridization of

various probes to DNA from the clone identified the insertion as an Spm/En homologous element.

#### Natural Variation

5       The Hm1 parental alleles from which the mutants described in this report were derived provide complete resistance to C. carbonum race 1 throughout the development of the plant. In contrast, the Hm1-A allele, found in the inbred P8, provides partial resistance that  
10       increases as the plant develops. Examination of DNA from P8 by hybridization with the \*1369 probe showed that the cloned region is duplicated. The duplicate locus segregates independently of Hm1. The relationship between the pattern of expression and the duplication has not been  
15       established. The \*1369 probe hybridized well with DNA from the grasses Sorghum and Coix but poorly with DNA from Arabidopsis. Poly(A)+ RNA from our mutants and from the inbreds K61 (hml-2) and Pr1 (Hm1-Pr1) was blotted and hybridized with the \*1062 probe. A 1.3 kb mRNA band was  
20       present only in the Pr1 lanes. The susceptible genotypes either had no detectable hybridizing mRNA or the size was aberrant. In all cases, the signal was extremely weak.

      These results establish that all or a sufficient part  
25       of the Hm1 gene has been cloned and sequenced, with genomic and cDNA sequences as shown in SEQUENCE I.D. No. 1 and SEQUENCE I.D. No. 2, respectively. Recessive alleles of the gene clearly contain homologous DNA (e.g., hml-1 and hml-2). This stands in contrast to the 2 fungal plant  
30       pathogen genes that have been cloned which control race specificity:: TOX2 confers race 1 type pathogenicity upon C. carbonum (Walton, personal communication) and avr9 confers race specific avirulence upon Cladosporium fulvum; both genes are missing in strains that lack their  
35       corresponding functions.

      Resistance appears to differ from susceptible at the transcriptional level, at least among the small samples of alleles that were examined. This indicates that

susceptible genotypes do not possess an alternative form of Hm1 with specificity for a substrate other than HC-toxin. This result also suggests that the only function of Hm1 in young leaf tissue is to provide resistance, since the Hm1 mutations are not pleiotropic.

#### Use in Disease Resistance

##### Plants

The preferred embodiment of this method involves inserting the Hm1 gene into the genome of the plant in proper reading frame, together with transcription initiator and promoter sequences active in the plant. Transcription and translation of the gene under control of the regulatory sequences causes resistance to the disease caused by C. carbonum.

The plant must be a plant susceptible to infection and damage by C. carbonum. These include corn (Zea mays). However, this is not to be construed as limiting, inasmuch as this species is among the most difficult commercial crops to reliably transform and regenerate, and this pathogen can also infect certain other crops. Thus, the methods of this invention are readily applicable via conventional techniques to other plant species, if they are found to be susceptible to C. carbonum, including, without limitation, species from the genera Allium, Antirrhinum, Arabidopsis, Arachis, Asparagus, Atropa, Avena, Beta, Brassica, Browallia, Capsicum, Cicer, Cicla, Citrullus, Citrus, Cucumis, Cucurbita, Datura, Daucus, Digitalis, Fagopyrum, Fragaria, Geranium, Glycine, Gossypium, Helianthus, Hordeum, Hemerocallis, Lactuca, Lens, Lolium, Lotus, Lycopersicon, Majorana, Manihot, Medicago, Nasturtium, Nicotiana, Oryza, Pelargonium, Persea, Petunia, Phaseolus, Pisum, Ranunculus, Raphanus, Ricinus, Saccharum, Secale, Senecio, Setaria, Solanum, Spinacia, Trifolium, Triticum, Bromus, Cichorium, Hyoscyamus, Linum, Nemesia, Panicum, Onobrychis, Pennisetum, Salpiglossis, Sinapis, Trigonella, and Vigna.

Preferred plants that can be transformed according to the methods of this invention are cereal crops, including

maize, rye, barley, wheat, sorghum, oats, millet, rice, triticale, sunflower, alfalfa, rapeseed and soybean.

Numerous plant expression cassettes and vectors are well known in the art. By the term "expression cassette" is meant a complete set of control sequences including initiation, promoter and termination sequences which function in a plant cell when they flank a structural gene in the proper reading frame. Expression cassettes frequently and preferably contain an assortment of restriction sites suitable for cleavage and insertion of any desired structural gene. In addition, the plant expression cassette preferably includes a strong constitutive promoter sequence at one end to cause the gene to be transcribed at a high frequency, and a poly-A recognition sequence at the other end for proper processing and transport of the messenger RNA. An example of such a preferred (empty) expression cassette into which the cDNA of the present invention can be inserted is the pPHI414 plasmid developed by Beach, et al. of Pioneer Hi-Bred International, Inc., Johnston, Iowa, USA, as disclosed in the U.S. Patent Application No. 07/785,648, filed October 31, 1991, the disclosures of which are hereby incorporated herein by reference. Highly preferred plant expression cassettes will be designed to include one or more selectable marker genes, such as kanamycin resistance or herbicide tolerance genes.

By the term "vector" herein is meant a DNA sequence which is able to replicate and express a foreign gene in a host cell. Typically, the vector has one or more endonuclease recognition sites which may be cut in a predictable fashion by use of the appropriate enzyme. Such vectors are preferably constructed to include additional structural gene sequences imparting antibiotic or herbicide resistance, which then serve as markers to identify and separate transformed cells. Preferred markers/selection agents include kanamycin, chlorosulfuron, phosphonothricin, hygromycin and methotrexate. A cell in which the foreign genetic

material in a vector is functionally expressed has been "transformed" by the vector and is referred to as a "transformant".

5 A particularly preferred vector is a plasmid, by which is meant a circular double-stranded DNA molecule which is not a part of the chromosomes of the cell.

As mentioned above, genomic, cDNA or synthetic DNA encoding the HM1 gene may be used in this invention. The vector of interest may also be constructed partially from  
10 a cDNA clone and partially from a genomic clone, etc. Genetic constructs are made which contain the necessary regulatory sequences to provide for efficient expression of the gene in the host cell. According to this invention, the genetic construct will contain (a) a first  
15 genetic sequence consisting of the HM1 gene, and (b) one or more regulatory sequences operably linked on either side of the structural gene of interest. Typically, the regulatory sequences will be selected from the group comprising of promoters and terminators. The regulatory  
20 sequences may be from autologous or heterologous sources.

Promoters that may be used in the genetic sequence include nos, ocs, FMV and CaMV promoters.

An efficient plant promoter that may be used is an overproducing plant promoter. Overproducing plant  
25 promoters that may be used in this invention include the promoter of the small sub-unit (ss) of the ribulose-1,5-biphosphate carboxylas from soybean (Berry-Lowe, et al., J. Molecular and App. Gen., 1:483-498 (1982)), and the promoter of the chlorophyll a-b binding protein. These  
30 two promoters are known to be light-induced, in eukaryotic plant cells (see, for example, Genetic Engineering of Plants, An Agricultural Perspective, A Cashmore, Pelham, New York, 1983, pp. 29-38, G. Coruzzi, et al., J. Biol. Chem., 258:1399 (1983), and P. Dunsmuir, et al., J. Molecular and App. Gen., 2:285 (1983)).  
35

The expression cassette comprising the HM1 gene operably linked to the desired control sequences can be ligated into a suitable cloning vector. In general,



plasmid or viral (bacteriophage) vectors containing replication and control sequences derived from species compatible with the host cell are used. The cloning vector will typically carry a replication origin, as well as specific genes that are capable of providing phenotypic selection markers in transformed host cells. Typically, genes conferring resistance to antibiotics or selected herbicides are used. However, in the context of this invention, the HM1 gene can also serve as the selectable marker in the transformation process. After the genetic material is introduced into the target cells, successfully transformed cells and/or colonies of cells can be isolated by selection on the basis of survival in the presence of HC-toxin.

Typically, an intermediate host cell will be used in the practice of this invention to increase the copy number of the cloning vector. With an increased copy number, the vector containing the HM1 gene can be isolated in significant quantities for introduction into the desired plant cells. Host cells that can be used in the practice of this invention include prokaryotes, including bacterial hosts such as E. coli, S. typhimurium, and Serratia marcescens. Eukaryotic hosts such as yeast or filamentous fungi may also be used in this invention.

The isolated cloning vector will then be introduced into the plant cell using any convenient technique, including electroporation (in protoplasts), retroviruses, microparticle bombardment, and microinjection into cells from monocotyledonous or dicotyledonous plants in cell or tissue culture to provide transformed plant cells containing as foreign DNA at least one copy of the plant expression cassette containing the HM1 gene. Using known techniques, protoplasts can be regenerated and cell or tissue culture can be regenerated to form whole fertile plants which carry and express the HM1 gene according to this invention. Accordingly, a highly preferred embodiment of the present invention is a transformed maize plant, the cells of which contain as foreign DNA at least

one copy of an expression cassette of this invention containing the HM1 gene.

Finally, this invention provides methods of imparting resistance to diseases caused by C. carbonum to plants of a susceptible taxon, comprising the steps of:

(a) culturing cells or tissues from at least one plant from the taxon,

(b) introducing into the cells or tissue culture at least one copy of the expression cassette comprising the HM1 gene operably linked to plant regulatory sequences which cause the expression of the gene in the cells, and

(c) regenerating disease-resistant whole plants from the cell or tissue culture. Once whole plants have been obtained, they can be sexually or clonally reproduced in such a manner that at least one copy of the sequence provided by the expression cassette is present in the cells of progeny of the reproduction.

By the term "taxon" herein is meant a unit of botanical classification of genus or lower. It thus includes genus, species, cultivars, varieties, variants, and other minor taxonomic groups which lack a consistent nomenclature.

It will also be appreciated by those of ordinary skill that the plant vectors provided herein can be incorporated into Agrobacterium tumefaciens, which can then be used to transfer the vector into susceptible plant cells, primarily from dicotyledonous species. Thus, this invention provides a method for imparting antimicrobial activity and disease resistance in Agrobacterium tumefaciens-susceptible dicotyledonous plants in which the expression cassette is introduced into the cells by infecting the cells with Agrobacterium tumefaciens, a plasmid of which has been modified to include a plant expression cassette of this invention.

#### 35 Use as a Probe

This invention also provides methods of isolating disease resistance genes from maize and other species, by using the HM1 gene as a probe. It has been determined

that HM1 is homologous to a family of disease resistance genes in maize and other species such as Arabidopsis, and this gene provides a probe which can be used, by hybridizing the HM1 gene with restriction digests of "target" genomic DNA, to count the disease resistance genes therein. It can also be used, by hybridization against a genomic library from the subject organism, to identify positive colonies which can then be cloned and sequenced. These techniques are well known and widely published, as seen by the published series Current Protocols in Molecular Biology, F. M. Ausubel, Ed., (Wylie 1987-89), which have disclosed such methods for the last eight years, and the disclosures of which are hereby incorporated herein by reference. The novel aspect claimed herein is the use of the HM1 gene or a fragment thereof in those methods as a highly selective probe for specific identification of disease resistance genes.

## SEQUENCE LISTING

## (1) GENERAL INFORMATION:

(i) APPLICANT: Pioneer Hi-Bred International, Inc.

(ii) TITLE OF INVENTION: A Disease Resistance Gene from Maize  
5 And Its Use For Disease Resistance, As A Selectable Marker And As A  
Gene Identification Probe

(iii) NUMBER OF SEQUENCES: 2

(iv) CORRESPONDENCE ADDRESS:

(A) ADDRESSEE: Pioneer Hi-Bred International, Inc.  
10 (B) STREET: 700 Capital Square, 400 Locust  
Street  
(C) CITY: Des Moines  
(D) STATE: Iowa  
(E) COUNTRY: United States  
15 (F) ZIP: 50309

~~(v) COMPUTER READABLE FORM:~~

(A) MEDIUM TYPE: Diskette, 3.5 inch, 1.44 Mb storage  
(B) COMPUTER: IBM Compatible  
(C) OPERATING SYSTEM: MS-DOS  
20 (D) SOFTWARE: Microsoft Windows Notepad

## (vi) CURRENT APPLICATION DATA:

(A) APPLICATION NUMBER:  
(B) FILING DATE:  
(C) CLASSIFICATION:

## 25 (vii) PRIOR APPLICATION DATA:

(A) APPLICATION NUMBER:  
(B) FILING DATE:

## (viii) ATTORNEY/AGENT INFORMATION:

(A) NAME: Roth, Michael J., et al.  
30 (B) REGISTRATION NUMBER: 29,342  
(C) REFERENCE/DOCKET NUMBER: 0212R PCT

## (ix) TELECOMMUNICATION INFORMATION:

(A) TELEPHONE: (515) 248-4895  
(B) TELEFAX: (515) 248-4844

## 35 (2) INFORMATION FOR SEQ ID NO: 1:

## (i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 5198 base pairs  
(B) TYPE: nucleotide

- (C) STRANDEDNESS: double
- (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: genomic DNA
- (A) DESCRIPTION:
- 5 (iii) HYPOTHETICAL: No
- (iv) ANTI-SENSE: NO
- (v) FRAGMENT TYPE :
- (vi) ORIGINAL SOURCE:
- (A) ORGANISM: Zea mays
- 10 (B) STRAIN:
- (C) INDIVIDUAL ISOLATE:
- (D) DEVELOPMENTAL STAGE:
- (E) HAPLOTYPE:
- (F) TISSUE TYPE:
- 15 (G) CELL TYPE:
- (H) CELL LINE:
- (I) ORGANELLE:
- (vii) IMMEDIATE SOURCE:
- (A) LIBRARY:
- 20 (B) CLONE:
- (viii) POSITION IN GENOME:
- (A) CHROMOSOME/SEGMENT:
- (B) MAP POSITION:
- (C) UNITS:
- 25 (ix) FEATURE:
- (A) NAME/KEY:
- (B) LOCATION:
- (C) IDENTIFICATION METHOD:
- (D) OTHER INFORMATION:
- 30 (x) PUBLICATION INFORMATION:
- (A) AUTHORS:
- (B) TITLE:
- (C) JOURNAL:
- (D) VOLUME:
- 35 (E) ISSUE:
- (F) PAGES:
- (G) DATE:
- (H) DOCUMENT NUMBER:

(I) FILING DATE:

(J) PUBLICATION DATE:

(K) RELEVANT RESIDUES IN SEQ ID NO:

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 1:

5	GAATTCGTAT ATCAGTTTAC TGCATGTATA TTTTTTGCAT GCACATTGGA	50
	AAGACAATTC CTTGATTTAT GTTGTTTCGTA ATTACCAAAA AATCAAATCA	100
	TTTATGTTTCG TAATTGCTAG ATTTTTACGT CTTCCATAAA ACTGTCCCTA	150
	ATTCTCGCCT GTTCTTAATT ACCATAAAAA ATCAAATCAA ATCACTTATA	200
	GTCTTAACTG CCAAATTTTT ATATCTGCCA TAAATTGTGCT CCTAATTTCC	250
10	GTCGGCGTTC TTAGTTGGAC CGATATTAGG TTTTTTCAAT TATGCTACAC	300
	TGTCTAAATA TTTATGCGAC GTTGATAAAG AATTTGTGCT TTGTGTGACT	350
	CATGTCATCC AATTTTTTGT GCGCGTGCAG TGGAAAGGAC ATATAATCTA	400
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	ATGTGCATAA AAATAGAAAT TGCATGCATA CGACTCCCAT ATTTTTCAGA	500
15	TCTGCCATAA AAATAGGATC GTAAATACAA CCCACCAAAC TATCAACCTC	550
	TCATATTGGC TCGATATTTA TGTTTATAAC TGAGAGGTTT TATGCCTAAA	600
	ACTTAAGGTA TTTACGCTCG AACCTGTAGA TGCATCCACC AGTGAACCAC	650
	ATGCCAGATT TTTTACGTAG TATACCACAT TGCATATATA ACTACCCAT	700
	GTTGCATAAT TTGTATAAGT ATATATCATA AACTGGTGCT AACGAGCCTA	750
20	GTTGCATGTC TGTTGAAGCG ATCATATATT TATACACGAA ATAAAGCATT	800
	TAAATAATTT TTTTTTGTTC TCATGCATGC CAATCCATTT CCTTTCATCG	850
	CACATCCTGC CATCCTAAAT TTGTGTGCAT GCATCAAGAA ACAGATTTTT	900
	ACACAGTATA CTGAATTGAA TAAATACCTA CACCTTGTA CATAATTAAT	950
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	AATTTGTGCT CATGCAACAG GAAACAAATT TTTACGCAGT GTACCAAATT	1150
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35	AATCATTTAA AAATAGAAAA AGTCAGAATC TTTTTTTTAA AACTTTACGC	1550
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 5 TTTCTTGAT TACCCTTTAT GATTAGTGGG TTGTCGCGAG AGAAAACGTA 1950  
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 15 AAGGTTTAAT TTACCCTTGT ATTCAATACT TAACGTTATA TATTCTCTAT 2450  
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 20 TAGCATTGTA TTCAAAATTA TGAAGTTTTT ATATGCAAAT GATTCACCTG 2700  
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 25 CAGCTTTCTC ATGCCAGAGC AAACCCATAG GTCCAGTCCA AATCCAATCC 2950  
 CTGTTGCCAT CAGAATTTCA GGGGCAGCCA TGGCCGAAAA GGAGAGCAAC 3000  
 GGAGTGCGGG TGTGCGTCAC CGGAGGAGCC GGTTTCATCG GCTCCTGGCT 3050  
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 ACACCGGTGC GTCTGATGGC GGCTCCTCAG CTCGATCCGC GCGTCGCGAA 3150  
 30 AGGCGAAACA CGCCAAAGGC GAAAGGAGTG GGTCCGGTCT CGTGIGTGGC 3200  
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 CGGAGCGGCT GCGGTTGTTC CAGGCCGACC TCTTCGACGC CGCCACCTTC 3350  
 GCGCCGGCGA TCGCTGGGTG CCAGTTCGTC TTCCTCGTCG CCACGCCATT 3400  
 35 CGGGCTCGAT AGCGCCGGCT CCCAGGTGAA GCTTGCCGTC GCGTTCGCTC 3450  
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 AAATTTGATC AGACTGAGTA TGAGTAAAGA CCAGTAAGAC AGCACAAGGA 3600

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 GCGTATAGCA GTATAAGAGC ACGGCGGAAG CTGTGGTGGA CGCGGTGCGC 3750  
 GCGATCCTCC GGCAATGCGA GGAGTCCCGG ACGGTGAAGC GAGTGATCCA 3800  
 5 CACAGCCTCC GTAGCGGCCG CCTCGCCGTT GCTGGAGGAG GAGGTCTCCG 3850  
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 CTCAACGTTG ACTATCCTCT CCGAAGCGCA CACTTCGACG TAAGTAGTAT 3950  
 ACAAGCGAAG JTTCTTCTGA TTTCTGAACT GGAACGCCTG ATCACATTAA 4000  
 TATTTTTTtag CTGACGGCCA TTTGATTTGC AGAAGTACAT ACTGTCGAAG 4050  
 10 CTGCGGTCAG AGCAGGAGCT CCTGAGCTAC AACGGCGGGC AGAGCCCGGC 4100  
 GTTCGAGGTG GTGACCCTGC CGCTGGGGCT CGTGGCGGGC GACACGGTCC 4150  
 TCGGCCGCGC CCCGGAGACG GTGGAGAGCG CCGTGGCGCC CGTGTCCCGC 4200  
 AGCGAGCCCT GCTTCGGCCT CCTGCGCATA CTGCAGCAGC TCCTGGGGTC 4250  
 GCTGCCGCTG GTGCACGTGG ACGACGTCTG CGACGCGCTC GTCTTCTGCA 4300  
 15 TGGAGCGGCG CCCCTCCGTC GCCGGCCGCT TCCTCTGCGC CGCCGCGTAC 4350  
 CCGACGATCC ACGACGTGGT CGCCCACTAC GCCAGCAAGT TCCCTCACCT 4400  
 CGACATCTTG AAAGAGTAAG ATCAAAAGCG TCCACAGCGA CAGCATCACC 4450  
 CTGCACACAA GAACTGACTG CCGATTACG TTTCTGTTGC GATTGGTTGG 4500  
 ATTGATCTGC GTCAGGACGG AGGCGGTGGC GACGGTGCGG CCTGCCCCGG 4550  
 20 ACAGGTTGGG CGAGCTGGG TCAAGTACA AGTACGGCAT GGAAGAGATT 4600  
 CTGGATAGCA GCGTTGCCTG TCGGCGGAGA TTAGGTTCCC TTGACGCATC 4650  
 CAAGCTCGGC CTACAGAAAG GATAAAAGCT CGAAGCTTAC TCATAAGCAC 4700  
 CATGGGGAAC TTGGATTGTT CGCTGTCCAC TATACGCGTT CGAAATTTGG 4750  
 AAAC TAGACA TACTCCAATA AAACAAGAGG TAAAGAAACG TGGGCTAACT 4800  
 25 GATACGCGTT GAGCAGTTGA GCTAGCCTAG TTTAGTCCAC CTGTGTGCAG 4850  
 GGTTTAAAC TTCCACGAAA TTTTATGACT TGCATAATT TTAGGCCTCT 4900  
 AAATATCAAC CATACACTCT AAATTGTATA TGTGCATACA CATATAGCCA 4950  
 TATGGACGCT CTGATCTAGC ATCCTACACC TATGCACCTC TCTAAGAACA 5000  
 ACTCCAACAG CCTCACTAAA TATATCAGAT TCGGTAAAAA AAAACCCAGT 5050  
 30 TAAAATIGTA TCCAATAGTC TCGTTTTATT CTTATCTTCT CTATCCAAC 5100  
 CGTCATATGG TCCCTTTCGC TAGACATCTT TGCCAAGGCG TACGGCTCGC 5150  
 CATATCCCTC GTCATGCCCA ACTGTCCTCC CGCCGTCGCA GAGAATTC 5198

(2) INFORMATION FOR SEQ ID NO: 2:

(i) SEQUENCE CHARACTERISTICS:

- 35 (A) LENGTH: 1374 base pairs  
 (B) TYPE: nucleotide  
 (C) STRANDEDNESS: double  
 (D) TOPOLOGY: linear



(ii) MOLECULE TYPE: cDNA  
(A) DESCRIPTION:  
(iii) HYPOTHETICAL: No  
(iv) ANTI-SENSE: NO  
5 (v) FRAGMENT TYPE :  
(vi) ORIGINAL SOURCE:  
(A) ORGANISM: Zea mays  
(B) STRAIN:  
(C) INDIVIDUAL ISOLATE:  
10 (D) DEVELOPMENTAL STAGE:  
(E) HAPLOTYPE:  
(F) TISSUE TYPE:  
(G) CELL TYPE:  
(H) CELL LINE:  
15 (I) ORGANELLE:  
(vii) IMMEDIATE SOURCE:  
(A) LIBRARY:  
(B) CLONE:  
(viii) POSITION IN GENOME:  
20 (A) CHROMOSOME/SEGMENT:  
(B) MAP POSITION:  
(C) UNITS:  
(ix) FEATURE:  
(A) NAME/KEY:  
25 (B) LOCATION:  
(C) IDENTIFICATION METHOD:  
(D) OTHER INFORMATION:  
(x) PUBLICATION INFORMATION:  
(A) AUTHORS:  
30 (B) TITLE:  
(C) JOURNAL:  
(D) VOLUME:  
(E) ISSUE:  
(F) PAGES:  
35 (G) DATE:  
(H) DOCUMENT NUMBER:  
(I) FILING DATE:  
(J) PUBLICATION DATE:

## (K) RELEVANT RESIDUES IN SEQ ID NO:

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 2:

	GAATTCGGCA CGAGTGCCAT CAGAATTTCA GGGGCAGCCA TGGCCGAAAA	50
	GGAGAGCAAC GGAGTGCGGG TGTGCGTCAC CGGAGGAGCC GGGTTCATCG	100
5	GCTCCTGGCT CGTCAGGAAG CTCCTCGAGA AAGGCTACAC CGTCCACGCC	150
	ACCTTGCGGA ACACCGGGGA CGAGGCGAAG GCGGGGCTGC TGCCTCGGCT	200
	GGTCCCCGGC GCGGCGGAGC GGCTGCGGTT GTTCCAGGCC GACCTCTTCG	250
	ACGCCGCCAC CTTGCGCGCG GCGATCGCTG GGTGCCAGTT CGTCTTCCTC	300
	GTCGCCACGC CATTGCGGCT CGATAGCGCC GGCTCCCAGT ATAAGAGCAC	350
10	GGCGGAAGCT GTGGTGGACG CGGTGCGCGC GATCCTCCGG CAATGCGAGG	400
	AGTCCCGGAC GGTGAAGCGA GTGATCCACA CAGCCTCCGT AGCGGCCGCC	450
	TCGCCGTTGC TGGAGGAGGA GGTCTCCGCC TCCGGCGTCG GGTACAGAGA	500
	CTTCATCGAC GAATCTTGTT GGAATTCGCT CAACGTTGAC TATCCTCTCC	550
	GAAGCGCACA CTTGACAAG TACATACTGT CGAAGCTGCG GTCAGAGCAG	600
15	GAGCTCCTGA GCTACAACGG CCGCGAGAGC CCGGCGTTTCG AGGTGGTGAC	650
	<del>CTTGCGGCTG GGGGTGGTGG GGGGGGACAC GGTCTCTGGG GGGGGGGGGG</del>	<del>700</del>
	AGACGGTGGA GAGCGCCGTG GCGCCCGTGT CCCGCAGCGA GCCCTGCTTC	750
	GGCCTCCTGC GCATACTGCA GCAGCTCCTG GGGTCGCTGC CGCTGGTGCA	800
	CGTGACGAC GTCTGCGACG CGCTCGTCTT CTGCATGGAG CGGCGCCCCCT	850
20	CCGTCGCCGG CCGCTTCCTC TCGCGCCGCG CGTACCCGAC GATCCACGAC	900
	GTGGTCGCCC ACTACGCCAG CAAGTTCCTT CACCTCGACA TCTTGAAAGA	950
	GACGGAAGCG GTGGCGACGG TCGGCGCTGC CCGGGACAGG TTGGGCGAGC	1000
	TGGGCTTCCA AGTACCAAGT ACGGCATGGG AAGAGATTCT GGATAGCAGC	1050
	GTTGCCTGTG CGGCGAGATT AGGTTCCCTT GACGCATCCA AGCTCGGCCT	1100
25	ACAGAAAGGA TAAAAGCTCG AAGCTTACTC ATAAGCACCA TGGGGAACTT	1150
	GGATTGTTTC CTGTCCACTA AACGCGTTTC AAATTTGGAA ACTAGACATA	1200
	CTCCAATAAA ACAAGAGGTA AAGAAACGTG GGCTAACTGA TACGCGTTGA	1250
	GCAGTTGAGC TAGCCTAGTT TAGTCCACCT GTGTGCAGGG TTTAAAACTT	1300
	CGACGAAATT TTATGACTTG CGATAATTTT AGGCCTCTAA AAAAAAAAAA	1350
30	AAAAAAAAAA AAAAAAACT CGAG	1374

## WHAT IS CLAIMED IS:

1. A DNA sequence coding substantially solely for the Hml gene of maize.
2. A DNA sequence having at least 90% translational  
5 homology to the sequence of SEQUENCE I.D. No. 1 or SEQUENCE I.D. No. 2.
3. An expression cassette containing the DNA sequence of claim 1 or 2 operably linked to plant regulatory sequences which cause the expression of the DNA  
10 sequence in plant cells.
4. A bacterial transformation vector comprising an expression cassette according to Claim 3, operably linked to bacterial expression regulatory sequences which cause replication of the expression cassette in bacterial cells.
- 15 5. Bacterial cells containing as a foreign plasmid at least one copy of a bacterial transformation vector according to Claim 4.
6. Transformed plant cells containing at least one copy of the expression cassette of Claim 3.
- 20 7. A transformed plant comprising transformed cells according to claim 6.
8. A method of identifying plant transformation using *C. carbonum* or the toxin produced by *C. Carbonum* as a phytotoxic marker, comprising the steps of:  
25 a) culturing cells or tissues from a selected target plant,  
b) introducing into the cell or tissue culture at least one copy of an expression cassette according to  
30 Claim 3,  
c) introducing *C. carbonum* or the toxin it produces into the cell or tissue culture and  
d) identifying transformed cells as the surviving cells in the cell or tissue culture.
- 35 9. A method of imparting resistance to diseases caused by *C. carbonum* to plants of a susceptible taxon, comprising the steps of:

a) culturing cells or tissues from at least one plant from the taxon,

b) introducing into the cells or tissue culture at least one copy of an expression cassette comprising the  
5 HM1 gene operably linked to plant regulatory sequences which cause the expression of the gene in the cells, and

c) regenerating disease-resistant whole plants from the cell or tissue culture. Once whole plants have been obtained, they can be sexually or clonally reproduced in  
10 such manner that at least one copy of the sequence provided by the expression cassette is present in the cells of progeny of the reproduction.

10. A method of identifying disease resistance genes which are homologous to the HM1 gene in the genome of a  
15 plant, comprising the step of identifying sequences which successfully hybridize with the HM1 gene or a fragment thereof.

11. A method according to Claim 10 wherein the sequences are identified by hybridizing a cDNA library or  
20 a restriction digest of genomic DNA from the plant with the HM1 gene or a fragment thereof.